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Docket Number 4-31452A

**FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10**

EL 835205647 US  
Express Mail Label Number

October 29, 2001  
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1653

HALLENBECK ET AL.

APPLICATION NO: 09/870,203

FILED: MAY 30, 2001

FOR: ADENOVIRUS PARTICLES WITH MUTAGENIZED FIBER  
PROTEINS

**Attention: Box Missing Parts**

Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

The Notice to File Missing Parts of Application - Filing Date Granted, dated August 2, 2001 (a copy of which is enclosed), has a shortened statutory time set to expire on October 2, 2001.

A one-month extension is hereby requested pursuant to 37 CFR §1.136(a). Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$110 for payment of the extension fee.

In response, Applicants now submit an original fully executed Declaration and Power of Attorney. Please charge the \$130 surcharge fee under 37 CFR §1.16(e) to Deposit Account No. 19-0134 in the name of Novartis Corporation. In addition, Applicants concurrently submit a revised Sequence Listing in computer readable form and a paper copy thereof.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.17 which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis Corporation. A duplicate copy of this letter is provided for charging purposes.

03/12/2002 MMARMDL 00000002 190134 09870203

01 FC:115 110.00 CH

Respectfully submitted,

Novartis Corporation  
Patent and Trademark Dept.  
564 Morris Avenue  
Summit, NJ 07901-1027

Date: October 29, 2001

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01-08-02

Page 1 of 2

Sector/8  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/870,203         | 05/30/2001          | Paul L. Hallenbeck    | 4-31452A               |

001095  
 THOMAS HOXIE  
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 564 MORRIS AVENUE  
 SUMMIT, NJ 079011027



**CONFIRMATION NO. 7861**  
**FORMALITIES LETTER**



\*OC000000006378370\*

Date Mailed: 08/02/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

01/14/2002 AGDITDM 00000080 190134 09870203

01 FC:1 130.00 CH

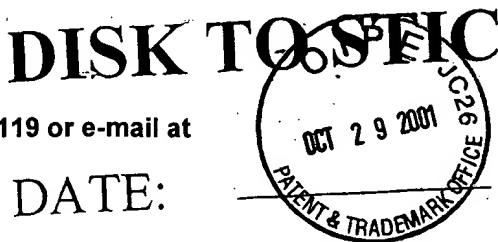
**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)



DATE:

*A copy of this notice **MUST** be returned with the reply.*

*Jenks*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE